FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney's Docket No.

2697-116

U.S. Application No. 11 5 190 8 2

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE June 30, 2003

PRIORITY DATE CLAIMED June 28, 2002

PCT/US03/020829

TITLE OF INVENTION

METHOD OF UP-REGULATING TUMOR ANTIGEN EXPRESSION USING THYMALFASIN

APPLICANT(S) FOR DO/EO/US

Guido RASI, Enrico GARACI, Paola SINIBALDI-VALLEBONA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
- This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- [] The US has been elected (Article 31).
- [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [] is attached hereto (required only if not communicated by the International Bureau).
 - has been communicated by the International Bureau.
 - c. [X] is not required, as the application was filed in the United States Receiving Office (RO/US)
- An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. [] is attached hereto.
 - has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - are attached hereto (required only if not communicated by the International Bureau).
 - have been communicated by the International Bureau.
 - have not been made; however, the time limit for making such amendments has NOT expired. 1
 - have not been made and will not be made.
- An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

ITEMS 11. TO 20. below concern other document(s) or information included:

- An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment.
- 14. [X] An Application Data Sheet under 37 CFR 1.76.
- A substitute specification.
- A power of attorney and/or address letter.
- A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-17. 1
- A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information: ISR, IPER, PCT Demand

DTO c'd PCT/PT 2 3 DEC 2004

U.S. APPLICATION NO. 114 DE CONTROL INTERNATIONAL APPLICATION NO. PCT/1303/020829		ATTORNEY DOCKET NO. 2697-116		
21. [X] The following fees are submitted:			CALCULATIONS	PTO USE ONLY
Basic National Fee				
Examination Fee				
Search Fee				
ENTER APPROPRIATE BASIC FEE AMOUNT =			\$1000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$	
Number Filed	Number Extra	Rate		
12-20 =		X \$50.00	\$	
2 - 3 =		X \$200.00	\$	
laim(s) (if applica	ble)	+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =			\$	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			\$	
SUBTOTAL =			\$1000.00	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$	
TOTAL NATIONAL FEE =			\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$	
TOTAL FEES ENCLOSED =			\$1000.00	
			Amount to be refunded	\$
			charged	\$1000.00
a. A check in the amount of \$ to cover the above fees is enclosed. b. X Please charge my Deposit Account No. 02-2135 in the amount of \$1000.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Customer No. 6449 Rothwell, Figg, Ernst & Manbeck 1425 K St., N.W. Washington, D.C. 20005 Phone: 202/783-6040				
	furnishing the oath claimed priority da Number Filed 12-20 = 2 - 3 = laim(s) (if applica To small entity status the reduced by 1/2. I for furnishing the claimed priority day to be priority day to be amount of \$	ENTER APPROPRIATE By furnishing the oath or declaration later th claimed priority date (37 CFR 1.492(e)). Number Filed Number Extra 12-20 = 2 - 3 = laim(s) (if applicable) TOTAL OF ABOVE CA small entity status. See 37 CFR 1.27. The reduced by 1/2. Of for furnishing the English translation later claimed priority date (37 CFR 1.492(f)). TOTAL Note that the content of the co	Source sees are submitted: \$300.00 \$200.00 \$500.00 ENTER APPROPRIATE BASIC FEE AMOUNT = furnishing the oath or declaration later than [] 20 [] 30 claimed priority date (37 CFR 1.492(e)). Number Filed Number Extra Rate 12-20 = X \$50.00 2 - 3 = X \$200.00 Haim(s) (if applicable) + \$360.00 TOTAL OF ABOVE CALCULATIONS = Small entity status. See 37 CFR 1.27. The fees re reduced by 1/2. SUBTOTAL = Of for furnishing the English translation later than [] 20 [] 30 claimed priority date (37 CFR 1.492(f)). + TOTAL NATIONAL FEE = closed assignment (37 CFR 1.21(h)). The assignment must be opriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED = TOTAL FEES ENCLOSED = TOTAL FEES ENCLOSED = TOTAL TEES ENCLOSED = TOTAL FEES ENCLOSED = TOTAL TEES ENCLOSED = TO	Samall entity status. See 37 CFR 1.27. The fees reduced by 1/2. SubTOTAL OF ABOVE CALCULATIONS Standle priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE \$ closed assignment (37 CFR 1.21(h)). The assignment must be opriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ TOTAL FEES ENCLOSED = \$1000.00 Amount to be refunded charge any additional fees which may be required to he amount of \$1000.00 this sheet is enclosed. TOTAL to cover the above fees is enclosed. TOTAL TREES and the may be required to charge any additional fees which may be required to Deposit Account No. 02-2135. A duplicate copy of this sheet is ropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petitic discapance. DENCE TO: Signafure Ceorge R. Repper Name Status. CALCULATIONS \$200.00 \$1000.00 \$200.00 \$